

Form PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)		Atty. Docket No. 010321	Serial No.
		<b>RECEIVED</b> MAR 14 2002 Technology Center 2100	
		Applicant Robert H. Thibadeau	
		Filing Date 7/25/01	Group 2100

### U. S. PATENT DOCUMENTS

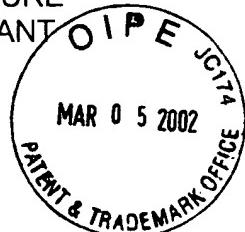
Examiner Initial	Document Number	Issue Date	Patentee	Class	Sub-Class	Filing Date
BB	5,027,401	Jun. 25, 1991	Soltesz			
BB	5,101,374	Mar. 31, 1992	Sinutko, Jr.			
BB	5,394,469	Feb. 28, 1995	Nagel et al.			
BB	6,044,349	Mar. 28, 2000	Tolopka et al.			
BB	6,092,202	Jul. 18, 2000	Veil et al.			
BB	6,138,239	Oct. 24, 2000	Veil			
BB	6,141,752	Oct. 31, 2000	Dancs et al.			
BB	6,175,924 B1	Jan. 16, 2001	Arnold			
BB	6,182,222 B1	Jan. 30, 2001	Oparaji			
BB	6,192,472 B1	Feb. 20, 2001	Garay et al.			

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Document Number	Public. Date	Country or Patent Office	Class	Sub-Class	Transl Y N

Examiner PRAMILA PARTHASARATHY	Date Considered July 16 - 2004
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Form PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	Atty. Docket No. 010321	Serial No.
<b>RECEIVED</b> MAR 14 2002 Technology Center 2100		
Applicant Robert H. Thibadeau		
Filing Date 7/25/01	Group 2100	



**OTHER DOCUMENTS**  
(Including Author, Title, Date, Relevant Pages, Place of Publication)

PP		"TCPA PC Specific Implementation Specification," Version 1.00, September 9, 2001.
PP		Gobioff, H. et al., "Security for Network Attached Storage Devices," School of Computer Science, Carnegie Mellon University, October 23, 1997.
PP		Strunk, J. D. et al. "Self-Security Storage: Protecting Data in Compromised Systems," 4 <sup>th</sup> Symposium on Operating Systems Design and Implementation, October 2000.
PP		"Trusted Computing Platform Alliance (TCPA)," Main Specification Version 1.1a, November 12, 2001.
PP		"Intel® Protected Access Architecture," Application Interface Specification, Revision 1.0, March 2001.
Examiner PRAMILA PARTHASARATHY	Date Considered	July - 16 - 2004
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		



FORM PTO-1449 U.S. PATENT & TRADEMARK OFFICE	Atty. Docket No.: I69.12-0549	Application No.: 09/912,931
---	----------------------------------	--------------------------------

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	First Named Inventor: Robert H. Thibadeau	RECEIVED
	Filing Date: July 25, 2001	JAN 24 2003 Group Art: 2131

Technology Center 2100

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BB	AA 3,576,544	04/27/71	Cordero, Jr. et al.	340	172.5	10/18/68
BB	AB 3,890,601	06/17/75	Pietrolewicz	340	172.5	03/11/74
BB	AC 4,183,085	01/08/80	Roberts et al.	364	200	10/21/77
BB	AD 4,442,484	04/10/84	Childs, Jr. et al.	364	200	10/14/80
BB	AE 5,022,077	06/04/91	Bealkowski et al.	380	4	08/25/89
BB	AF 5,345,590	09/06/94	Ault et al.	395	650	09/01/93
BB	AG 5,448,045	09/05/95	Clark	235	382	09/05/95
BB	AH 5,623,637	04/22/97	Jones et al.	395	491	05/17/96
BB	AI 5,754,821	05/19/98	Cripe et al.	395	491	03/27/96
BB	AJ 5,892,902	04/06/99	Clark	395	187.01	09/05/96
BB	AK 5,928,364	07/27/99	Yamamoto	713	202	11/27/96
BB	AL 5,949,601	09/07/99	Braithwaite et al.	360	60	06/27/97
BB	AM 6,134,662	10/17/00	Levy et al.	713	200	06/26/98
BB	AN 6,219,726	04/17/01	Ripberger	710	36	07/27/94
BB	AO 6,253,281	06/26/01	Hall	711	112	06/15/98
BB	AP 6,321,358	11/20/01	Anderson	714	763	08/27/98
BB	AQ 6,324,627	11/27/01	Kricheff et al.	711	163	06/02/99

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
BB	AR	JP5134863A2	06/01/93	Japan	G06F	9/06	Yes
BB	AS	JP8115266A2	05/07/96	Japan	G06F	12/14	Yes
BB	AT						



RECEIVED

JAN 24 2003

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Technology Center 2100

<i>PB</i>	AU	"Research on a Dedicated CPU Architecture for Java IC Card", Wang Tao, et al., ACTA ELECTRONICA SINICA, Vol. 28, No. 11, Nov. 2000, pp. 77-80, 76., Chinese Inst. Electron, China. <i>Abstract</i>
<i>PB</i>	AV	"Smart Cards With Built-in Microprocessors: Partition into Virtual Cards", R. Brenner, ELEKTRONIK, Vol. 43, No. 9, May 3, 1994, pp. 38-44, Germany. <i>Abstract</i>
<i>PB</i>	AW	"Public Protection of Software", ACM TRANSACTIONS ON COMPUTER SYSTEMS, Vol. 5, No. 4, November 1987, pp. 371-393.
<i>PB</i>	AX	"Protection and Control of Information Sharing in Multics", COMMUNICATIONS OF THE ACM, Vol. 17, No. 7, July 1974.
<i>PB</i>	AY	"Hardware Support for Memory Protection: Capability Implementations", M.V. Wilkes, Digital Equipment Corporation.
<i>PB</i>	AZ	"SECUR'ACCes: access control with the CP8 smart card", SECURICOM 87: 5th Worldwide Congresson Computer and Communications Security and Protection. SEDEP. 1987, pp. 187-96, Paris, France. <i>Abstract</i>
<i>PB</i>	BA	"Memory Protection Software Facility for OS/2 Shared Data Applica-tions", IBM TECHNICAL DISCLOSURE BULLETIN, pp. 81-89, Sept. 1991.
<i>PB</i>	BB	"Modular System Partition", IBM TECHNICAL DISCLOSURE BULLETIN, pp. 341-342, Dec. 1992.
<i>PB</i>	BC	"Password Protection of Separate Hard Disk Partitions", IBM TECHNICAL DISCLOSURE BULLETIN, pp.103-104, Nov. 1996.
<i>PB</i>	BD	"Preventing Access to a Personal Computer", IBM TECHNICAL DISCLOSURE BULLETIN, pp.98-100, Sept. 1992.

EXAMINER: PRAMILA PARTHASARATHY DATE CONSIDERED: July 16 2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>FORM PTO-1449</b>		Atty. Docket No.: 169.12-0549	Application No.: 09/912,931
 <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>		First Named Inventor: Robert H. Thibadeau	
		Filing Date: July 25, 2001	Group Art: 2131

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
DP	AA 6,446,209 B2	9/3/2002	Kern et al.			
DP	AB 6,336,187	1/1/2002	Kern et al.			<b>RECEIVED</b>
DP	AC 6,173,402 B1	1/9/2001	Chapman			JUL 10 2003
AD						
AE						Technology Center 2100
AF						
AG						

## FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
	AH						
	AI						
	AJ						

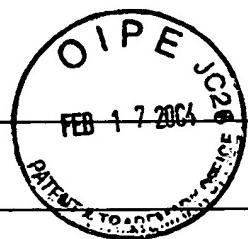
## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AK	
AL	
AM	

EXAMINER: PRAMILA PARTHASARATHY DATE CONSIDERED: July 16 2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

Atty. Docket No.:  
I69.12-0549Application No.:  
09/912,931LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENTFirst Named Inventor:  
Robert H. ThibadeauFiling Date:  
July 25, 2001

Group Art:

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents
AA			
AB			
AC			
AD			
AE			
AF			
AG			
AH			
AI			
AJ			
AK			

RECEIVED

FEB 18 2004

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

		Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Translation Yes No
DP	AL	EP 0 965 903 A1	12-22-1999	Colin Drew	
DP	AM	WO 99/59049	11-18-1999	David Robb, et al.	
	AN				

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER: PRAMILA PARTHASARATHY DATE CONSIDERED: July 16 2004

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.